by Gordon L. Robertson and Claire Koelsch Sand

# **Bio-Derived Polymers for Food Packaging**

The packaging industry consumes about 40% of the petroleumbased plastics produced (Rabnawaz et al. 2017). Bio-derived and bio-based polymers are part of a portfolio of solutions to use resources more wisely, replace petroleum-based plastics, and decrease greenhouse gases. Biobased packaging materials are materials derived primarily from renewable sources.

#### The Role of Bio-Polymers

When compared to recycled polymers and the use of renewable

**The Coca-Cola Co.** has a fully recyclable plastic bottle—the PlantBottle—made entirely from renewable plant materials encompassing BioFormPX paraxylene by Virent. Photo courtesy of The Coca-Cola Co.



energy to produce polymers, bioderived polymers are not necessarily the most sustainable choice, but they do have a role in achieving more sustainable packaging. This role is due to a complex array of sustainable alternatives such as recycling and reuse, the viable use of renewable energy in the production of polymers, and the volatile pricing of oil-derived polymers. While recycling polymers allows for the reuse of resources, only about 35% of existing recyclable polymers are actually recycled. More than 85% of bio-derived polymers in use are not biodegradable but can be recycled. Because bio-polyethylene and biopolyethylene terephthalate can be recycled with polyethylene (PE) and polyethylene terephthalate (PET), recycling of bio-derived polymers improves their sustainability. Use of renewable energy (solar and wind) to power polymerization processes would decrease greenhouse gases by up to 75%, but global investment is lacking (Eehart et al. 2012). Investment in sustainable production of bio-derived polymers and oilderived polymers would greatly assist in sustainability efforts. The use of feedstock such as corn for biopolymers reduces greenhouse gases by only 25%, and the use of available water and arable land to grow polymers can be perceived as callous. However, sugars, which are the building blocks of biopolymers, can be derived from the by-product or waste of food. And recycling and reusing polymers rather than biodegrading or composting them provides a more sustainable use of resources. Price volatility of oil-derived

polymers is also prompting more development in biopolymers.

#### **Biomass**

For the production of fuels, chemicals, and bio-based plastics, biomass is an abundant renewable source. Biomass consists of carbohydrates, lignin, lipids, and proteins and is the only widely available carbon source apart from oil and coal. Carbohydrates form the largest natural source of carbon. Firstgeneration bio-based products derived from corn, soya, and wheat are evolving into second-generation products derived from lignocellulosic feedstocks such as agricultural residues and energy crops. Origin Materials oxidizes sugars from trees to form building blocks of bioderived polymers, and lignin is used as a replacement for oil-based phenolic materials. However, profitable use of biomass has been a challenge. For example, biomass from forestry requires dedicated fractionation and purification of by-products to make production of biomass profitable. As markets for non-oil-derived materials grow and governmental rulings such as the European Renewable Energy Directive become more prevalent, profitability may increase.

#### **Biomass Building Blocks**

Sugars from biomass are dehydrated to generate hydroxymethylfurfural (HMF) and methoxymethylfurfural (MMF) (Chernyshev et al. 2017). HMF is often used as an index of deteriorative changes that take place during excessive heating or prolonged storage of foods. The most widely described route for the formation of HMF is by acid-catalyzed dehydration of a hexose, in which three water molecules are consecutively removed from the sugar molecule. Converting the dominant sugar in cellulosic biomass (glucose) into HMF remains a great challenge, and the conversion is achieved by isomerization of glucose into fructose, which is then dehydrated to yield HMF. Producing HMF and MMF from fructose is highly efficient; Avantium dehydrates fructose in methanol to tons of FDME a year.

#### **Bio-Polymers**

FDCA replaces terephthalic acid in PET and is polymerized with renewable ethylene glycol to 100% bio-based polymer bio-PEF. Synvina is the first commercial-scale plant to produce bio-PEF that can be used on its own or in combination with PET

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produce MMF. After generation and purification of HMF or MMF, monomers 2,5-furan dicarboxylic acid (FDCA) or furandicarboxylic methyl ester (FDME) are generated (van der Waal et al. 2016).

FDCA is a monomer for polyethylene furanoate (PEF), and FDME is the methyl ester of FDCA and a monomer for polytrimethylene furandicarboxvlate (PTF). Avantium has operated an FDCA pilot plant in the Netherlands since 2011. In 2016 it formed a joint venture with BASF called Synvina to build a facility in Belgium with the capacity to generate 50,000 metric tons of FDCA per year through oxidation. In contrast, Corbion utilizes biocatalytic microbes to consume HMF, resulting in 99% of HMF being converted to high-purity FDCA. The poor solubility of FDCA in common organic solvents or its tendency to decompose at temperatures greater than about 350°F have caused some chemical companies to seek alternatives such as FDME. In 2016 DuPont Industrial **Biosciences and Archer Daniels** Midland announced a new process for turning fructose into FDME, resulting in a pilot plant in Decatur, III., with the capacity to produce 60

for flexible or rigid packaging. For example, bio-PEF is being explored as a thin layer to improve barrier properties of PET packaging. Like PET, bio-PEF can be stretched into a biaxially oriented film for packaging cheese and meat. The gas and water vapor-barrier properties of bio-PEF and its mechanical and thermal properties are superior to those of PET (see table). The European PET Bottle Platform has given interim approval—with some restrictions for bio-PEF to go to recyclers. A stream of up to 2% of bio-PEF bottles can be recycled with PET without resulting in a hazy appearance. Recyclers can also use near-infrared sorting technology to separate bio-PEF bottles for recycling (Eerhart et al. 2012).

DuPont uses FDME to replace dimethyl terephthalate in PET to produce PTF through polymerization with *Bio-PDO* (1,3-propanediol). By weight, bio-PTF costs two to four times as much as PET, but aside from being completely bio-based, the performance of PTF is an improvement upon PET. Compared to PET, PTF is up to 60% stronger and a better gas barrier, which means that packages can use much less plastic to provide

# **Bio-Derived Polymers for Food Packaging** continued...

the same level of protection (Saltzberg 2017).

Bio-PE, bio-PET, and biopolypropylene are known as drop-in biopolymers since they exhibit the same characteristics as their non bio-derived versions. Bio-PE is produced by the catalytic dehydration of bio-ethanol to yield bio-ethylene, followed by the same polymerization as oil-derived PE. It is recyclable; is not biodegradable; and has the same properties, processing, performance, and recycling as PE made from natural gas or oil feedstocks. Major producers are in Brazil and employ sugar from cane as the starting biomass. Bio-PE costs about 30% more than petrochemical-based PE. Braskem is the main supplier of bio-PE and

| Selected Properties of Biopolymers |               |               |             |             |
|------------------------------------|---------------|---------------|-------------|-------------|
| Property                           | PET           | Bio-PEF       | Bio-PTF     | PP          |
| Water vapor permeability           | 130-183       | n/a           | n/a         | 57          |
|                                    | 370 at 35°C   | 180 at 35°C   | n/a         | n/a         |
| Oxygen permeability                | 0.018-0.03    | n/a           | n/a         | 0.9-2.3     |
|                                    | 0.114 at 35°C | 0.011 at 35°C | 0.008-0.014 | n/a         |
| Carbon dioxide permeability        | 0.12-0.16     | n/a           | n/a         | 9.2         |
|                                    | 0.49 at 35°C  | 0.026 at 35°C | 0.033-0.061 | n/a         |
| Melt temperature                   | 247°C         | 211°C         | n/a         | 160°C-175°C |
| Tensile strength                   | 48.2-72.3     | 35-67         | n/a         | 31-42       |

(Table adapted from Rabnawaz et al. 2017, Robertson 2014, and Saltzberg 2017.)

(Water vapor permeability in barrer mL cm cm  $^{-2}$  s  $^{-1}$  (cm Hg)  $^{-1}$  at 25  $^{\circ}$  C/90% RH.)

(Oxygen and carbon dioxide permeability in mL cm cm<sup>-2</sup> s<sup>-1</sup> (cm Hg)<sup>-1at</sup>25°C/75% RH unless noted.) (Tensile strength in MPa.)

uses two and a half acres of sugar cane to yield three tons of bio-PE. Bio-PE has been integrated into structures where PE would normally be used.

which are derived from FDCA and FDME, bio-based PET is produced from bio-based ethylene glycol and bio-based terephthalic acid. Ethylene glycol is produced from bio-ethanol that is derived from the fermentation of glucose and sorbitol or from cellulose using a carbon-supported tungsten carbide catalyst. Bio-based PET is more expensive than PET derived from fossil fuels (Rabnawaz et al. 2017). Biobased terephthalic acid is derived from paraxylene via various sources such as sugarcane, corn, and woody biomass. In 2009 the Coca-Cola Co. announced the release of its PlantBottle, made from a blend of petrochemical-based material and up to 30% plant-based material (ethylene glycol from molasses), resulting in a 25% reduction in carbon emissions. FT

In contrast to PEF and PTF,

### Claire Koelsch Sand, PhD, Contributing Editor • President, Packaging Technology & Researce • Adjunct Professor, Michigan State Univ.

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Gordon L. Robertson is principal consultant, Food+Packaging+Environment, and adjunct professor, University of Queensland, Australia (gordonlrobertson@gmail.com)

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